



#3

PATENT  
Customer No. 22,852  
Attorney Docket No. 5793.3068-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kevin HUENNEKENS et al. ) Group Art Unit: 2161  
Serial No.: 09/897,775 ) Examiner: TO BE ASSIGNED  
Filed: June 29, 2001 )  
For: SYSTEMS AND METHODS FOR )  
PROCESSING CREDIT CARD )  
TRANSACTIONS THAT EXCEED )  
A CREDIT LIMIT )

Commissioner for Patents and Trademarks  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

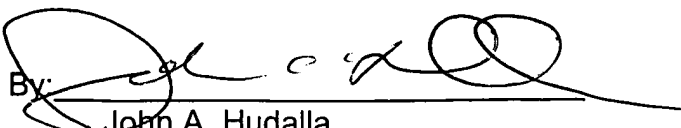
**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of August 22, 2001, Applicants submit  
Declarations/Powers of Attorney (one per inventor) for filing in this application, the  
required fee of \$130.00, and a copy of the Notice of Missing Parts.

Please associate the enclosed declarations with the application, grant any  
extensions of time required to enter this response, and charge any additional required  
fees to our Deposit Account 06-0916.

Respectfully submitted,

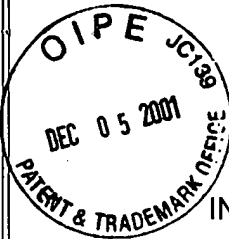
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
John A. Hudalla  
Reg. No. 48,445

Dated: December 5, 2001

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000



Section \$

PATENT  
Customer No. 22,852  
Attorney Docket No. 5793.3068-00

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin HUENNEKENS et al.

) Group Art Unit: 2161

Serial No.: 09/897,775 ✓

) Examiner: TO BE ASSIGNED

Filed: June 29, 2001

For: SYSTEMS AND METHODS FOR  
PROCESSING CREDIT CARD  
TRANSACTIONS THAT EXCEED A  
CREDIT LIMIT

Commissioner for Patents and Trademarks  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

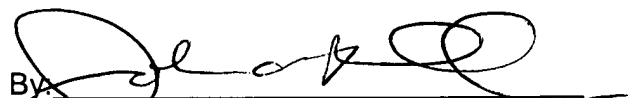
TRANSMITTAL LETTER

Enclosed is a reply to the Notice to File Missing Parts of Nonprovisional Application dated August 22, 2001. The items checked below are appropriate:

- ☒ Response to Notice to File Missing Parts of Nonprovisional Application, a copy of the Notice of Missing Parts, and \$130.00 surcharge fee is enclosed.
- ☒ Applicants hereby petition for a 2-month extension of time to respond to the above Office Action. The fee of \$400.00 for the Extension is enclosed.
- ☒ Filing Fees of \$1,820.00 which include:
  - \$740.00 basic filing fee, and
  - \$1,080.00 cost of the claims (\$828 for 46 total claims over 20;  
\$252 - for 3 independent claims over 3).
- ☒ Declarations and Powers of Attorney.
- ☒ A check for \$2,350.00 to cover the above fees is enclosed.

Please grant any extensions of time required to enter this response and charge any additional required fees to our Deposit Account 06-0916.

Dated: December 5, 2001

By   
John A. Hudalla  
Reg. No. 48,445

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
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1300 I STREET, N. W.  
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202-408-4000

12/06/2001 SHINASS1 00000072 09897775

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,775	06/29/2001	Kevin Huennekens	5793.3068-00

CONFIRMATION NO. 9815

## FORMALITIES LETTER



\*OC000000006457176\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/06/2001 SMINASS1 00000072 09897775

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	828.00 OP
03 FC:102	252.00 OP
04 FC:105	130.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1068.
  - \$828 for 46 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1908.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE